The Planning Associates, Inc.
Privacy Statement and Policy

In accordance with relevant regulations regarding the privacy of consumer financial information, we have instituted comprehensive privacy policies and procedures to safeguard your nonpublic personal information.

The Planning Associates, Inc. is committed to protecting the confidentiality and security of the nonpublic information collected about you that is necessary to provide you with financial planning, investment advisory, and/or tax related services. All information provided by you is held in the strictest confidence and designed to protect all client engagements.

Personal nonpublic information is not sold or disclosed to anyone, including nonaffiliated third parties, except as necessary to effect, administer, or enforce a transaction or service that a client requests or authorizes under the nature of the engagement, or as permitted or required by law.

Some private regulatory organizations that do not have an automatic exception may request personally identifiable information. If you prefer that no nonpublic personal information is disclosed to these entities, you can opt-out of this disclosure by notifying The Planning Associates, Inc. by mail, phone, fax, or email.

As you know, personal identifying and/or other financial information is obtained directly from you and is used to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy.

All records are secured in a safe office and computer environment to protect the security and confidentiality of client records to ensure that your information is not placed at unreasonable risk.

Personal identifiable information about you will be maintained during the time you are a client, and for at least the time thereafter that such records are required to be maintained by federal and state, or other regulatory bodies laws or regulations.

Even if you are no longer a client, this privacy policy will continue to apply to you. If there were a change in this policy, it would be prohibited under the law without advising you first. This notice will be provided annually during which a client relationship exists.

Please contact me if you have any questions or need additional clarification.

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